



## Legislation Text

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### Agenda Information Sheet

**DEPARTMENT:** Internal Audit

**CM/ ACM:** City Council

**Date:** March 28, 2017

#### **SUBJECT**

Review, discuss, and provide direction regarding the duties and plans of the City Auditor.

#### **BACKGROUND**

The City is establishing a new Internal Audit Department and current auditor believes it necessary to discuss and review:

- The authoritative pronouncement that governs his job
- An anonymous reporting mechanism to report Fraud, Waste and Abuse
- The different types of reports that can be issued by the Internal Audit Department
- The FY17 Audit Plan

#### **OPTIONS**

N/A

#### **RECOMMENDATION**

City Auditor recommends:

- Clarifying and Enhancing language be added to City Charter
- Consideration be given to an in-house anonymous reporting mechanism
- Utilizing the different reports based on user objectives/needs
- Approving the FY17 Audit Plan

#### **ESTIMATED SCHEDULE OF PROJECT**

FYE17

#### **PRIOR ACTION/REVIEW (Council, Boards, Commissions)**

Audit and Finance Committee indicated to bring duties and work plan to Council

#### **FISCAL INFORMATION**

FY17

#### **BID INFORMATION**

N/A

## **STRATEGIC PLAN RELATIONSHIP**

The City of Denton's Strategic Plan is an action-oriented road map that will help the City achieve its vision. The foundation for the plan is the five long-term Key Focus Areas (KFA): Organizational Excellence; Public Infrastructure; Economic Development; Safe, Livable, and Family-Friendly Community; and Sustainability and Environmental Stewardship. While individual items may support multiple KFAs, this specific City Council agenda item contributes most directly to the following KFA and goal:

**Related Key Focus Area:**     **Organizational Excellence**

**Related Goal:**               **1.1 Manage financial resources in a responsible manner**

## **EXHIBITS**

Exhibit 1 - Duties and Plans of the City Auditor

Exhibit 2 - Audit Example

Exhibit 3 - Review Report Example

Exhibit 4 - Prospective Analysis Example

Exhibit 5 - Agreed-Upon Procedures Example

Exhibit 6 - FY17 Audit Plan

Respectfully submitted:

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